

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C12N15/85 A61K48/00

C12N15/05 A01N45/00 C12N9/02 C12N15/86 C12N15/52 A61P35/00 C12N15/53

C12N9/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A01K A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
GU, H. ET AL.: "Deletion of a DNA polymerase beta gene segment in T cells using cell type-specific gene targeting" SCIENCE.,	1-3,5,6, 13,14
vol. 265, 1 July 1994 (1994-07-01), pages 103-106, XP000857325	
page 104, column 1, paragraph 2 -column 3, paragraph 2; figure 1	1-9,11, 13-18, 23-25, 27,28, 36,41
page 105, column 2, paragraph 3 -column 3, paragraph 2	30,41
-/	
	GU, H. ET AL.: "Deletion of a DNA polymerase beta gene segment in T cells using cell type-specific gene targeting" SCIENCE., vol. 265, 1 July 1994 (1994-07-01), pages 103-106, XP000857325 AAAS. LANCASTER, PA., US page 104, column 1, paragraph 2 -column 3, paragraph 2; figure 1

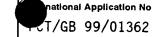
Further documents are listed in the continuation of box C.	Patent family members are listed in annex.			
° Special categories of cited documents :	"T" later document published after the international filing date			
"A" document defining the general state of the art which is not considered to be of particular relevance	or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention			
"E" earlier document but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to			
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another	involve an inventive step when the document is taken alone			
citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the			
"O" document referring to an oral disclosure, use, exhibition or other means	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled			
"P" document published prior to the international filing date but later than the priority date claimed	in the art. "&" document member of the same patent family			
Date of the actual completion of the international search	Date of mailing of the international search report			
6 January 2000	13/01/2000			
Name and mailing address of the ISA	Authorized officer			
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Chambonnet, F			



C.(Continu	lation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °		Relevant to claim No.
X Y	DE 195 30 412 A (MELCHNER HARALD VON PROF DR ;GREZ MANUEL DR (DE); RUSS ANDREAS PET) 20 February 1997 (1997-02-20) the whole document	1,2,5,6, 8,14-18 1-4,7,9, 13-18, 23-25, 27,28,41
X	ANTON M ET AL: "SITE-SPECIFIC RECOMBINATION MEDIATED BY AN ADENOVIRUS VECTOR EXPRESSING THE CRE RECOMBINASE PROTEIN: A MOLECULAR SWITCH FOR CONTROL OF GENE EXPRESSION" JOURNAL OF VIROLOGY, US, THE AMERICAN SOCIETY FOR MICROBIOLOGY, vol. 69, no. 8, August 1995 (1995-08), pages 4600-4606-4606, XP002011775 ISSN: 0022-538X	1,2,5,6, 8,14
Y	cited in the application page 4602, column 1, paragraph 2 -page 4605, column 2, paragraph 4	2,4,9, 11, 23-25, 27,28,36
Y	KANEGAE Y ET AL: "EFFICIENT GENE ACTIVATION IN MAMMALIAN CELLS BY USING RECOMBINANT ADENOVIRUS EXPRESSING SITE-SPECIFIC CRE RECOMBINASE" NUCLEIC ACIDS RESEARCH, GB, OXFORD UNIVERSITY PRESS, SURREY, vol. 23, no. 19, 11 October 1995 (1995-10-11), page 3816-3821 XP002011774 ISSN: 0305-1048 the whole document	1,2,5,6, 8,14
Y	WANG P ET AL: "HIGH FREQUENCY RECOMBINATION BETWEEN LOXP SITES IN HUMAN CHROMOSOMES MEDIATED BY AN ADENOVIRUS VECTOR EXPRESSING CRE RECOMBINASE" SOMATIC CELL AND MOLECULAR GENETICS,US,NEW YORK, NY, vol. 21, no. 6, 1995, page 429-441 XP000617918 the whole document /	1,2,5,6, 8,11,14, 36



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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	10
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FERNEX, C. ET AL: "Cre/loxP mediated excision of a neomycin resistance expression unit from an integrated retroviral virus increases Long Terminal Repeat-driven transcription in human hematopoietic cells" JOURNAL OF VIROLOGY., vol. 71, no. 10, October 1997 (1997-10), pages 7533-7540, XP000857099 ICAN SOCIETY FOR MICROBIOLOGY US	1,4,5
Υ	the whole document	4
Υ	WO 98 10086 A (UNIV PENNSYLVANIA ;PHANEUF DANIEL (US); WILSON JAMES M (US)) 12 March 1998 (1998-03-12) the whole document	1,2,5,6, 8,11,14, 36
Y	HALLAHAN, D.E. ET AL.: "Spatial and temporal control of gene therapy using ionizing radiation" NATURE MEDICINE, vol. 1, no. 8, August 1995 (1995-08), pages 786-791, XP000857200 cited in the application the whole document	1,4,11, 16, 23-25, 27,28
Y	HALLAHAN, D.E. ET AL.: "c-jun and Egr-1 participate in DNA synthesis and cell survival in response to ionzzing radiation exposure" JOURNAL OF BIOLOGICAL CHEMISTRY (MICROFILMS), vol. 270, no. 51, 22 December 1995 (1995-12-22), pages 30303-30309, XP000857098 MD US cited in the application the whole document	23,27,28
Y	ELLIOTT G ET AL: "INTERCELLULAR TRAFFICKING AND PROTEIN DELIVERY BY A HERPESVIRUS STRUCTURAL PROTEIN" CELL,US,CELL PRESS, CAMBRIDGE, NA, vol. 88, 24 January 1997 (1997-01-24), page 223-233 XP002064725 ISSN: 0092-8674 the whole document	9



C.(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	LAKSO M ET AL: "EFFICIENT IN VIVO MANIPULATION OF MOUSE GENOMIC SEQUENCES AT THE ZYGOTE STAGE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA,US,NATIONAL ACADEMY OF SCIENCE. WASHINGTON, vol. 93, no. 12, June 1996 (1996-06), page 5860-5865 XP000670222 ISSN: 0027-8424 the whole document	1,5,6, 11,14
Y	LAKSO, M. ET AL.: "Targeted oncogene activation by site-specific recombination in transgenic mice" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 89, July 1992 (1992-07), pages 6232-6236, XP000857321 NATIONAL ACADEMY OF SCIENCE. WASHINGTON., US ISSN: 0027-8424 cited in the application the whole document	1,2,5-7, 11,14
Y	WO 92 15694 A (SALK INST FOR BIOLOGICAL STUDI) 17 September 1992 (1992-09-17) claims 1-9,13-18,29-34,46,58,59	1,5,6, 14,41
Y	WO 97 17842 A (UNIV ROCHESTER) 22 May 1997 (1997-05-22) the whole document	1,5-7,11

ation on patent family members

rnational	Application No
CT/GB	99/01362

Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
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TENT COOPERATION TRE. (

	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF ELECTION (PCT Rule 61.2)	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE
Date of mailing (day/month/year) 21 December 1999 (21.12.99)	in its capacity as elected Office
International application No. PCT/GB99/01362	Applicant's or agent's file reference
International filing date (day/month/year) 17 May 1999 (17.05.99)	Priority date (day/month/year) 15 May 1998 (15.05.98)
Applicant MARGISON, Geoffrey, Paul et al	
in the demand filed with the International Preliminar 29 November in a notice effecting later election filed with the International 7. The election X was was not was not was not was not Rule 32.2(b).	1999 (29.11.99) national Bureau on:
The International Bureau of WIPO	Authorized officer

Form PCT/IB/331 (July 1992)

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference			···	See Notific	cation of Transmittal of International	
JNHS		FOR FURTHER A	CTION		y Examination Report (Form PCT/IPEA/416)	
International application No.			International filing date (day/month	/year)	Priority date (day/month/year)
PCT/GB9	PCT/GB99/01362 17/05/1999					15/05/1998
Internationa C12N15/ Applicant		ent Classification (IPC) or na	tional classification and IP	С		
CANCER	RE	SEARCH CAMPAIGN	TECHNOLOGY LIMI	TED et a	ıt	
		ational preliminary exami smitted to the applicant a		prepared	by this Inte	ernational Preliminary Examining Authority
2. This F	REPC	PRT consists of a total of	5 sheets, including this	s cover sh	ieet.	
b ₁	een a		is for this report and/or	sheets co	ontaining re	n, claims and/or drawings which have ectifications made before this Authority ne PCT).
These	e ann	exes consist of a total of	sheets.			
3. This re	eport	contains indications rela	ting to the following iter	ns:		
ı	\boxtimes	Basis of the report				
11		Priority				
111	\boxtimes	Non-establishment of or	pinion with regard to no	velty, inv	entive step	and industrial applicability
IV		Lack of unity of inventio	n			
V	×	Reasoned statement un citations and explanatio			ovelty, inve	entive step or industrial applicability;
VI		Certain documents cite	ed			
VII		Certain defects in the in	ternational application			
VIII Certain observations on the international application						
Date of sub	Date of submission of the demand			Date of c	ompletion of	this report
29/11/199	29/11/1999			02.05.20	00	
	exami	address of the international ning authority:		Authorize	ed officer	Site of CORS AND CO. LAND.
<u></u>	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d			Giebele		Wang sp. Control of the Control of t
Fax: +49 89 2399 - 4465			Telephon	e No. +49 89	2399 8546	

I. Basis of th r port

1.	res	ponse to an invitati	Irawn on the basis of (substitute sheets which have been furnished to the receiving Office in on under Article 14 are referred to in this report as "originally filed" and are not annexed to lo not contain amendments.):
	Des	scription, pages:	
	1-6	6	as originally filed
	Cia	ims, No.:	
	1-5	3	as originally filed
	Dra	wings, sheets:	
	1/1	1-11/11	as originally filed
2.	The	amendments have	e resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:
3.			en established as if (some of) the amendments had not been made, since they have been beyond the disclosure as filed (Rule 70.2(c)):
4.	Add	litional observation	s, if necessary:
			i - international desiration of the contraction of
H.	. Nor	n-establishment o	f opinion with regard to novelty, inventive step and industrial applicability
	-		e claimed invention appears to be novel, to involve an inventive step (to be non-obvious), able have not been examined in respect of:
		the entire internati	onal application.
	⊠	claims Nos. 49 an	d 50 with respect to industrial applicability.
26	caus	· A·	

	×	the said international ap relate to the following su (specify):	plication ubject m	n, or the s atter whic	said claims Nos. 49 and 50 with respect to industrial applicability ch does not require an international preliminary examination
		see separate sheet			
		the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):			
		the claims, or said claim could be formed.	ıs Nos.	are so in	adequately supported by the description that no meaningful opinior
		no international search i	report h	as been e	established for the said claims Nos
V.					ith regard to novelty, inventive step or industrial upporting such statement
1.	Stat	tement			
	Nov	velty (N)	Yes: No:	Claims Claims	1-53
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-53
	Indu	ustrial applicability (IA)	Yes: No:	Claims Claims	1-48, 51-53
2.	Cita	ations and explanations			i - manumumumumumumumumumumumumumumumumumumu
	see	separate sheet			

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. Claims 49 and 50 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 2. The following documents are cited:
 - D1: GU, H. ET AL.: SCIENCE., vol. 265, 1 July 1994, pages 103-106
 - D2: DE 195 30 412 A
 - D3: ANTON M ET AL: JOURNAL OF VIROLOGY, vol. 69, no. 8, August 1995, pages 4600-4606-4606,
 - D6: FERNEX, C. ET AL: JOURNAL OF VIROLOGY., vol. 71, no. 10, October 1997, pages 7533-7540,
 - D8: HALLAHAN, D.E. ET AL.: 'NATURE MEDICINE, vol. 1, no. 8, August 1995, pages 786-791
- 3. The subject-matter of claims 1-53 appears to be novel over the available prior art.
 - In D1, the recombination system results in the deletion of the target gene, not in its expression. In D2, the recombinase does not have the capacity to establish an operative linkage between the tk-neo gene and the pgk promoter. In D3 and D6, the recombinase gene is not under the control of a regulatory system responsive to the effect of an expression inducing influence.

4. The subject-matter of claim 1 appears to be based on an inventive step.

D8, which discloses vector material containing a tumour cell sensitizing gene (tnfalpha) under the control of the radiation-inducible Egr-1 promoter region, appears to represent the closest prior art document.

The subject-matter of claim 1 is distinguished therefrom in that the "expression inducing influence" (e.g. radiation) results in the expression of a control gene, the expression product of which can establish continuous production of the tumour cell sensitizing gene product. Thus, a transient inducing influence results in the continuous production of the tumour sensitizing gene product.

The technical problem to be solved is seen in the provision of vector material suitable for cancer therapy having improved regulation properties.

The solution to this problem as provided by claim 1 does not appear to be obvious over the available prior art for the following reasons.

The cre/lox recombination system was well-known at the priority date of the application and had been widely used. D3, for instance, discloses a vector construct containing the luciferase gene under control of the HCMV immediate early promoter, but separated from it by an extraneous spacer sequence flanked by lox P sites, which blocks luciferase expression. Cre-mediated excision of the intervening sequence resulted in induction of luciferase expression.

However, it appears that none of the available prior art documents suggests the use of the cre recombination system in order to achieve continuous production of a desired gene product following a transient signal. Therefore, it would appear that a person skilled in the art would not have solved the problem posed by providing the subject-matter of claim 1.

Accordingly, the subject-matter of claims 2-53 also appears to involve an inventive step.

5. The subject-matter of claims 1-48 and 51-53 furthermore appears to be industrially applicable.

QU

'ATENT COOPERATION TREA

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.				
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)			
PCT/GB 99/01362	17/05/1999	15/05/1998			
Applicant CANCER RESEARCH CAMPAIGN	TECHNOLOGY LIMITED. et al				
according to Article 18. A copy is being transfer according to Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy is a copy in the Article 18. A copy in the Article 18. A copy is a copy in the Article 18. A copy in the Article	•				
language in which it was filed, un the international search w Authority (Rule 23.1(b)). b. With regard to any nucleotide ar was carried out on the basis of th X contained in the internation filed together with the internation of the statement that the sul international application at the statement that the inferturnished	e sequence listing: conal application in written form. conal application in computer readable for this Authority in written form. control this Authority in computer readble form. consequently furnished written sequence listing of the sequence form in the se	the international application furnished to this nternational application, the international search			
5. With regard to the abstract, X the text is approved as such the text has been establis	shed, according to Rule 38.2(b), by this Author e date of mailing of this international search re				
as suggested by the appl because the applicant fai	icant.	None of the figures.			

onal Application No. PCT/GB 99/01362

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C12N15/85 A61K48/00 C12N15/52 C12N15/53 C12N9/00 C12N9/02 C12N15/86 A61P35/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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C. DOCUME	NTS CONSIDERED TO BE RELEVANT	
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Y	page 104, column 1, paragraph 2 -column 3, paragraph 2; figure 1	1-9,11, 13-18, 23-25, 27,28, 36,41
	page 105, column 2, paragraph 3 -column 3, paragraph 2	
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Y Furtr	er documents are listed in the continuation of box C.	re listed in annex.

Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 6 January 2000	Date of mailing of the international search report 13/01/2000
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Chambonnet, F

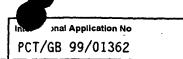
Intel onal Application No PCT/GB 99/01362

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.						
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Inter. Jual Application No PCT/GB 99/01362

		PCT/GB 99/01362			
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT					
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		7C1/db 99/01302		
C.(Continu Category °	lation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Υ	LAKSO M ET AL: "EFFICIENT IN VIVO	1,5,6,		
	MANIPULATION OF MOUSE GENOMIC SEQUENCES AT THE ZYGOTE STAGE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA,US,NATIONAL ACADEMY OF SCIENCE. WASHINGTON, vol. 93, no. 12, June 1996 (1996-06), page 5860-5865 XP000670222 ISSN: 0027-8424	11,14		
Y	the whole document LAKSO, M. ET AL.: "Targeted oncogene activation by site-specific recombination in transgenic mice" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 89, July 1992 (1992-07), pages 6232-6236, XP000857321 NATIONAL ACADEMY OF SCIENCE. WASHINGTON., US ISSN: 0027-8424 cited in the application the whole document	1,2,5-7, 11,14		
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Y .	WO 97 17842 A (UNIV ROCHESTER) 22 May 1997 (1997-05-22) the whole document	1,5-7,11		

information on patent family members

Inter. mai Application No PCT/GB 99/01362

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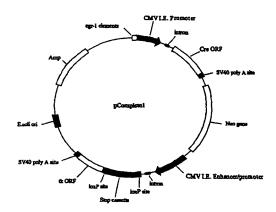
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(57) Abstract

Vector material useful for antitumour therapy contains: (a) a tumour cell sensitizing gene or genes of which expression in a tumour cell yields a sensitizing gene expression product having a potential to cause tumour cells to be killed and destroyed, or to be eliminated, or otherwise to be inactivated, or to be rendered sensitive and/or vulnerable to destruction; (b) a sensitizing gene promoter; (c) at least one control gene; and (d) a control gene expression regulatory system responsive in use in a transfected cell to the effect of a predetermined exogenous or endogenous expression inducing influence, e.g. ionizing radiation, heat or a chemical inducing agent, so as to induce expression of the control gene to yield an expression product having a capacity to establish an operative linkage between the sensitizing gene promoter and the sensitizing gene or genes effective to trigger and switch on or permit continuous or permanent expression of the latter to bring about continuous production of the sensitizing gene expression product. This is preferably achieved by arranging for the control gene to encode a recombinase enzyme that acts on recombinase target sites in a Cre-loxP or Flp-frt site specific recombination system to remove an expression preventing stop cassette sequence between the sensitizing gene(s) and the promoter for the latter. In some embodiments the tumour sensitizing gene expression product will be an enzyme or other bioactive agent that can activate an inactive prodrug.

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